

FORM PTO-1390 (REV 12-2004)		ATTORNEY DOCKET NUMBER  3570-4008
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.51)  <div style="font-size: 1.5em; font-weight: bold;">10/531962</div>
INTERNATIONAL APPLICATION PCT/EP2003/008920	INTERNATIONAL FILING DATE 12 August 2003 (12.08.2003)	PRIORITY DATE CLAIMED 21 October 2002 (21.10.02)
TITLE OF INVENTION STORAGE MEDIA		
APPLICANT(S) FOR DO/EO/US – Alexander CIOC, Kaplan-Mertens-Strasse 5, 47533, Kleve, Germany; Gerhard FEHR, Brammenfeld 5, 47533 Kleve, Germany; Reinhard BOREK, Grafstrasse 12, 06220 Halle, Germany; Thomas RAINER, Noschenroder Strasse 81, 38855 Wernigerode, Germany; Jochen SCHNEIDER, Ahornweg 10, 06132 Halle, Germany; Klaus-Jurgen BERG, Am Feldrain 36, 06130 Halle, Germany; and Gunner BERG, Anhalter Strasse 12, 06108 Halle, Germany		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected. (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or Information:           <p style="margin-left: 20px;">(a) cover page from WO2004/038713 A1</p> </li> </ol>		

U.S. APPLICATION NO. <b>10/531962</b> <small>(37 CFR 1.51)</small>		INTERNATIONAL APPLICATION NO. PCT/EP2003/008920		ATTORNEY'S DOCKET NO. 3570-4008	
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21. The following fees are submitted:

<input checked="" type="checkbox"/> a) Basic national fee	\$300.00	\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee	\$200.00	\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee	\$500.00	\$ 500.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$1,000.00</b>	

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
65 - 100 =	0/50 =		x \$250.00	\$0	

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	18 - 20 =	0	x \$50.00	\$0	
Independent claims	1 - 3 =	0	x \$200.00	\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,000.00</b>	

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**SUBTOTAL = \$1,000.00**

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE = \$1,000.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.

**TOTAL FEES ENCLOSED = \$1,000.00**

	Amount to be refunded:	\$
	Amount to be charged:	\$

a. ☒ A check in the amount of \$1,000.00 to cover the above fees is enclosed.

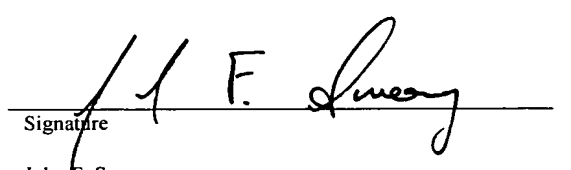
b. ☐ Please charge my Deposit Account No. 13-4500 in the amount of \$\_\_\_\_\_ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500. A duplicate copy of this sheet is enclosed. 3570-4008

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  
 CUSTOMER NO. 27123  
 Enter Address Here  
 Morgan & Finnegan, LLP  
 3 World Financial Center  
 New York, NY 10281-2101  
 Tel: 212-415-8100  
 Fax: 212-415-8101

  
 Signature  
 John F. Sweeney  
 Name  
 27.471  
 REGISTRATION NUMBER

4/19/2005

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) <b>10/531962</b>		INTERNATIONAL APPLICATION NO. PCT/EP2003/008920		ATTORNEY'S DOCKET NO. 3570-4008	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee		\$300.00		\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee		\$200.00		\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee		\$500.00		\$ 500.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$1,000.00</b>		<b>\$ 1,000.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
65 - 100 =	0/50 =		x \$250.00	\$0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	18 - 20 =	0	x \$50.00	\$0	
Independent claims	1 - 3 =	0	x \$200.00	\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,000.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0	
<b>SUBTOTAL =</b>				<b>\$1,000.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,000.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,000.00</b>	
				Amount to be refunded:	\$
				Amount to be charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,000.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>13-4500</u> in the amount of \$_____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-4500</u>. A duplicate copy of this sheet is enclosed. 3570-4008</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO:  <b>CUSTOMER NO. 27123</b>  Enter Address Here  Morgan &amp; Finnegan, LLP  3 World Financial Center  New York, NY 10281-2101  Tel: 212-415-8100  Fax: 212-415-8101</p>					
			<p style="text-align: center;">  Signature  <u>John F. Sweeney</u>  Name</p>		
			<p style="text-align: center;"><u>27,471</u>  REGISTRATION NUMBER</p>		
<p><u>4/19/2005</u></p>					

Customer No. 27123

Docket No. 3570-4008

IN THE UNITED STATES

☐ RECEIVING OFFICE (RO/US)  
☒ DESIGNATED OFFICE (DO/US)  
☒ ELECTED OFFICE (EO/US)

INTERNATIONAL APPLICATION NO. PCT/EP2003/0008920	INTERNATIONAL FILING DATE 12 August 2003 (12.08.03)	PRIORITY DATE CLAIMED 21 October 2002 (21.10.02)
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TITLE OF INVENTION  
STORAGE MEDIA

APPLICANT(S)  
CIOC et al

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE  
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))**

I declare that on **April 19, 2005** I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number **EV455193831US**, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 and having an express mail certification which I executed, the following papers:

1. Transmittal Letter w/specification - 10 pp.; claims 3 pp., 1 sheet of figures; Inventor Information, check in the amount of \$1,000.00
2. Preliminary Amendment with copy of PCT/EP2003/0008920 and English translation; Certified copy of English Translation
3. Information Disclosure Statement w/6 references
- 4.
- 5.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Jafet N. Cotto

(Typed or printed name of person making this verified statement)

April 19, 2005

Date

(Signature of person making this verified statement)

(Verified Certification of Express Mailing Date (International Application) [13-12])